

#### AIS WEB REGISTRATION FIELDS - OUTPATIENT & HOME BASED LEVELS OF CARE

- 1. Registering for a 90801 Initial Evaluation Only? 
  YES NO
- 2. Is this a new admission to outpatient services within your agency/practice? 
  VES NO
- 3. Is member being discharged from a higher level of care within your agency/practice? 
  YES NO Note: (If n/a, select no)
- 4. RACE (optional): 
  American Indian/Alaskan 
  Asian 
  Black/African American 
  Native Hawaiian/Pacific 
  White
- 6. REFERRAL SOURCE: 

  Self/Family Member
  PCP/Medical Provider
  Step Down Intermediate LOC
  Step Down Inpatient LOC
  Other BH Provider
  School
  Comm. Collaborative
  CT BHP ASO
  DCF
  DMR
  DMHAS
  Hospital Emergency Dept
  Managed Service System
  Court-ordered
  Other Legal
  Other
- 7. FIRST PHONE OR WALK IN CONTACT W/ MEMBER OR PARENT/GUARDIAN: Date\_\_\_\_\_
- 8. FIRST CONTACT WAS: 
  Walk-in 
  Telephone
- 9. **REFERRAL TYPE:** 
  □ Routine □ Urgent □ Emergent

a.	If Routine or Urgent:	What was the 1 <sup>st</sup> appt. that was offered to the member:
		What was the date of the 1 <sup>st</sup> appt. that was accepted by the member?
		If applicable, # of no-shows/cancellations prior to first appt? (Indicate #)
		Date of first face-to-face Clinical Evaluation:
b.	If Emergent :	Date and Time Presented at the Clinic:/ DATE AM / PM
		Date and Time of Clinical Evaluation:/ DATE AM / PM
10. <b>AXIS</b> I	I – V (AXIS IDSM IV Diag	nosis Code) Axis I Diagnosis Date://

- AXIS I & II: AXIS I \_\_\_\_\_\_\_ (circle one: Primary, Secondary, Rule Out)
  AXIS I \_\_\_\_\_\_\_ (circle one: Primary, Secondary, Rule Out)
  AXIS II (if deferred, pls indicate) \_\_\_\_\_\_\_ (circle one: Primary, Secondary, Rule Out)
  AXIS II \_\_\_\_\_\_\_ (circle one: Primary, Secondary, Rule Out)
  AXIS II \_\_\_\_\_\_\_
- a. AXIS III: 

  None
  Arthritis
  Asthma
  Cancer
  Cardiac Problem
  Chronic Pain
  Cystic Fibrosis

  Eating Disorder
  HIV
  Hearing Impairment
  Hepatitis
  Lupus
  Mobility impairment
  Neurological disorder
  Obesity
  Pregnancy
  Post-partum
  Sickle Cell
  Traumatic Brain Injury
  Type I Diabetes
  Type II Diabetes
  Visual impairment
  Other Axis III
- b. AXIS IV: \_\_\_\_

c. AXIS V (Indicate GAF Score 1-100)

- 11. If member had previous behavioral hith treatment w/i the past 6 mos. Select all that apply: DN/A DMntl Hith Sub Abuse
- 12. Are there family members or significant others involved in the members treatment and recovery? 
  YES NO NA
  - a. If yes, are any of the family members/significant others receiving their own MH or SA treatment? 
    YES 
    NO
- 13. Have you obtained consent to contact:
  - a. School 
    YES 
    NO 
    DENIED
  - b. Medical Provider □ YES □ NO □ DENIED
  - c. Previous behavioral health treatment provider 
    YES 
    NO 
    DENIED 
    N/A
  - d. BH treatment provider for family member/significant other 
    VES 
    NO 
    DENIED 
    N/A

14. Who is the lead case management provider? □ DCF Case Worker □ DCF Enhance CC □ CC (System of Care Collaborative) □ DMHAS Case Manager

- 15. Is the member currently taking psychiatric medications? 
  VES 
  NO
- 16. Is a psychiatric medication evaluation or medication management visit indicated?
- 17. Does member have co-occurring mental health and substance use conditions? 
  VES 
  NO 
  Not Assessed
- 18. Is the member involved with the legal system? Please select all that apply
  - a. 
    Juvenile Justice 
    Probation 
    Parole □ Other Court □ N/A
- 19. Have you provided information regarding peer support or self-help options? 

  VES 
  NO
- 20. Effective date/Start date of authorization? (EX: 09/01/06): \_

#### FEDERAL REPORTING REQUIREMENTS ONLY FOR MEMBERS 0-17 YEARS OF AGE

- 21. SED (Seriously/Severely Emotionally Disturbed): 
  YES 
  NO 
  UNKNOWN
- 22. Co-Occuring Disorder: 
  YES NO UNKNOWN
- 23. Living Situation: 🗆 Independent Living w/Supports 🗆 Crisis Stabilization Residential
  - □ Foster Care (Therapeutic or Professional) □ Foster Care (Standard) □ Group Home □ Homeless □ Jail/Correctional Facility □ Private Residence □ Psychiatric Residential Treatment Facility
    - □ Residential Treatment Center □ Safe Home □ Shelter
- 24. Within the past 12 mos. has the child/youth been: Arrested? 
  YES NO UNKNOWN

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a. Suspended/Expelled? □ YES □ NO □ UNKNOWN

#### AIS WEB REGISTRATION FIELDS - METHADONE MAINTENANCE

#### (CORE OTPT QUESTIONS (Pg 1-2) plus THE FOLLOWING)

- 1. Is the member currently maintained on Methadone? 
  YES NO
  - a. If <u>yes</u>, how long has the member received Methadone services?

 $\Box$  6 mos or less  $\Box$  7 mos – 1 yr  $\Box$  1-3 yrs  $\Box$  3-5 yrs  $\Box$  5 yrs >

b. If no, what has been the duration of the member's opioid use?

 $\Box$  Less than 1 yr  $\Box$  1-3 yrs  $\Box$  3-5 yrs  $\Box$  5 yrs or >

- 2. What other services are included in the treatment plan?
  - a. OP Therapy Comm. Supp. (NA/AA) IOP/PHP Other Behavioral Health Services PCP/MD Follow-up
- 3. What is the ultimate treatment goal? 

  Methadone Maintenance 
  Abstinence

#### AIS WEB REGISTRATION FIELDS - AMBULATORY DETOX

#### (CORE OTPT QUESTIONS (Pg 1-2) plus THE FOLLOWING)

- 1. From what substance is the member in need of detoxification? (select all that apply) 🗆 Alcohol 🔅 Opiates 🔅 Benzodiazepines
- Has the member had a previous detox in any setting in the past year? □ YES □ NO
   If <u>ves</u>, number of detoxes in the past year? □ 1 □ 2 □ 3 □ 4+
- 3. What is the identified discharge plan? (select all that apply) □ OP Therapy □ Comm. Supp. (NA/AA) □ IOP/PHP □ Other Behavioral Health Services □ Methadone Services □ PCP/MD Follow-up

## AIS WEB REGISTRATION FIELDS - PSYCHOLOGICAL TESTING: (CORE OTPT QUESTIONS (Pg 1-2) plus THE FOLLOWING)

- 1. What is the referral question?
- 2. What is the patient's history including summary of psychosocial/medical info; treatment history; and type, duration and frequency of current services?
- 3. What were the results of previous testing including dates and findings?
- 4. What is the differential diagnostic question that the testing will answer?
- 5. What are the treatment options that are being considered?
- 6. What treatment decision requires input from testing?
- 7. Specific tests planned (List tests planned and time required for each test i.e. Rorschach 2hrs, Thermatic Apperception Test 1 hour, etc.)
- 8. Total Hours Requested (0 to 10)

Please note: Deferred Diagnosis NOT accepted for Family Support Teams (FST), Methadone Maintenance or Ambulatory Detox.\

# AIS RE-REGISTRATION/CONCURRENT REVIEW FIELDS (Outpatient, Home Based Services, Methadone Maintenance)

1.	Indicate Degree of Progress from previous registration: 🗌 None 🗌 Minimal 🔲 Moderate 🔲 High		
2.	Indicate current level of stability: 🗌 Not Stable 📄 Somewhat Stable 📄 Stable		
3.	Indicate proximity to baseline 🗌 Not Close to Baseline 🗌 Close to Baseline		
4.	Currently receiving psychotropic meds?		
5.	Has a documented decision taken place with member (or his/her guardian) about the effectiveness of treatment and progress being made?		
6.	Does a documented goal oriented treatment plan exist?		
7.	Members current symptoms: <b>(Select all that apply)</b> Suicidal Ideation Verbally Aggressive Psychotic Symptoms Substance Abuse/Depend Self injurious behaviors Firesetting Depression Elevated Mood PTSD/Trauma Beh.Problems/School/Home Symptoms Stabilized		
8.	<ul> <li>Risk factors: (Select all that apply)</li> <li>Access to weapons History(Hx) of violence Hx of homicidal ideation Family violence Hx of explosive/impulsive beh.</li> <li>Hx of self injury Hx of suicidal ideation Hx of serious suicide attempts Hx of Sexual abuse Hx of unsuccessful tx</li> <li>Financial Medical condition Psychosis High Sub Abuse Relapse Risk Recent significant loss</li> <li>Sole caretaker of family member Unstable housing Legal Issues DCF Involvement Psychiatric/SA issue w/Parent/caretaker</li> <li>Separation from parent Hx of severe neglect/abuse Hx. of trauma Family Dysfunction Other</li> </ul>		
9.	AXIS I – V Axis I Diagnosis Date:// (circle one: Primary, Secondary, Rule Out) AXIS I & II: AXIS I (circle one: Primary, Secondary, Rule Out) AXIS I (circle one: Primary, Secondary, Rule Out) AXIS II (if deferred, pls indicate) (circle one: Primary, Secondary, Rule Out) AXIS II (circle one: Primary, Secondary, Rule Out) (circle one: Primary, Secondary, Rule Out)		
10.	AXIS III:  None  Arthritis  Asthma  Cancer  Cardiac Problem  Chronic Pain  Cystic Fibrosis		
	🗆 Eating Disorder 🗆 HIV 🗆 Hearing Impairment 🛛 Hepatitis 🗆 Lupus 🗆 Mobility impairment		
	□ Neurological disorder □ Obesity □ Pregnancy □ Post-partum □ Sickle Cell □ Traumatic Brain Injury		
11.	AXIS IV:		
12.	AXIS V (Indicate GAF Score 1-100)		
13.	Treatment modalities to be used for this request?		
	If Member is 18 or below, please complete the following:		
	ing 90 days prior to this request for re-authorization has: Member been enrolled in school? ☐ Yes ☐ No, Graduated ☐ No, Expelled ☐ No, Dropped Out a. If yes: Member been suspended from school?: ☐ Yes ☐ No b. Member had unexcused attendance problems?: ☐ Yes ☐ No		
15.	Member's behavior resulted in new legal problems?:		
16.	Any new legal charges brought against member?:		
17.	Family member been involved in any peer support activities?		
18.	Member been actively involved in any organized recreational activities?: 🗌 Yes 🗌 No 🔲 Don't Know		
19.			

- 20. During past 3 months, have you communicated w/ PCP or other medical provider?: Yes No
- 21. During past 3 months, have you communicated w/any of the following regarding care and treatment of Member?
  - □ Yes □ No □ Yes □ No □ Yes □ No

  - Child not enrolled in school
     Child not DCF involved
     Not involved w. Probation/Parole Probation/Parole c.

a. School DCF

b.

#### **METHADONE MAINTENANCE** (CORE RE-REGISTRATION FIELDS (Pg 3-4) plus THE FOLLOWING)

- How long has the member received methadone services? 🗌 6 mos or less 🗌 7 mos 1 yr 🗌 1-3 yrs 🗌 3-5 yrs 🗌 5 yrs 1.
- Services included in treatment plan? OP Therapy Comm. Supp. (NA/AA) OP/PHP Other BH Services PCP/MD f/u 2.
- ☐ Methadone Maintenance ☐ Abstinence What is the ultimate treatment goal? З.

### AMBULATORY DETOX

(Only initial registrations are allowed for ambulatory detox – providers requiring concurrent reviews for this level of care fax a request for concurrent reviews and these are completed with an internal AIS Custom Form))